1644

Date: <u>July 11, 2003</u> Label No. <u>EV306612344US</u>

hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

Ushley Smith Ushley Shuff Name (Print) Signature

In re the Application of:)		
Kyogo ITOH)		CHOW TO THE
Application Number: 10/062,257)	Group Art Unit: 1646	THE TOO Y
Filed: February 1, 2002)	Examiner: Unassigned	10/300
For: TUMOR ANTIGEN	,		•

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97(b)

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 11, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Supplemental Information Disclosure Statement is being submitted after expiration of the three-month period following filing of the above-captioned application but before an Office Action on the merits and before any Final Office Action or Notice of Allowance.

Should a first Office Action cross in the mail with the filing of this Supplemental Information Disclosure statement, then applicants respectfully petition under 37 C.F.R.§ 1.97(c) to consider the documents set forth in the Supplemental Information Disclosure Statement.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) and

Supplemental Information Disclosure Statement

U.S. Patent Application No. 10/062,257

1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully

requested that the information be expressly considered during the prosecution of this application,

and that the documents cited in the attached Form PTO-1449 be made of record therein and appear

on the first page of any patent to issue therefrom.

This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that each or all of the listed documents are material or

constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in

this application and applicant determines that the cited documents do not constitute "prior art" under

United States law, applicant reserves the right to present to the office the relevant facts and law

regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of

the disclosed invention over the listed documents, should one or more of the documents be applied

against the claims of the present application.

It is believed that no fee is required to make this a complete and timely filing. However, if it

is determined that a petition or fee is required, the Commissioner is hereby authorized to charge any

fee associated with this statement to our Deposit Account No. 50-0925.

Respectfully submitted,

Atty. Docket No.: 3190-014

KILYK & BOWERSOX, P.L.L.C.

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Enclosures:

PTO-1449, w/ 6 Documents, and European Search Report

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Page 1 of 1

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	FORM PT	O-1449 (REV 7-	80)		Atty. Docket No.	3190-014	App	lication No	. 10/062,257
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					Filing Date: Febr	uary 1, 2002	Gro	up Art Uni	t: 1616
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Europäisches Patentamt

Eingangsstelle European Patent Office

Receiving Section Office européen des brevets

Section de Dépôt

Forstmeyer, Dietmar, Dr. rer. nat., Dip1.-Chem.

Boeters & Bauer, Bereiteranger 15 .81541 München ALLEMAGNE

EINGEGANGEN

17. Okt. 2002

BOETERS & BAUER Patentanwälte



Datum/Date

15-10-2002

Zeichen/Ref./Réf.

Anmeldung Nr./Application No./Demande no./Patent Nr./Patent No./Brevet no.

00949982.3-2406- PCT/JP0005220

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire

Itoh, Kyogo

12787-Itoh (gr)

PROCEEDING FURTHER WITH THE EUROPEAN PATENT APPLICATION PURSUANT TO ARTICLE 96(1) AND RULE 51(1) EPC

A supplementary European search report has been drawn up concerning the above European patent application (publication no. 1207199).

Since you have filed a request for examination prior to the transmission of the supplementary European search report, you are hereby invited to indicate within

TWO MONTHS

of notification of this invitation whether you desire to proceed further with the European patent application.

If you do not indicate in due time that you desire to proceed further with the Europeen patent application, it will be deemed to be withdrawn (Art. 96(3) EPC).

If you wish you may comment on the supplementary European search report and amend, where appropriate, the description, claims and drawings (Rule 51(1) EPC).

RECEIVING SECTION

Pera, Alberto



REGISTERED LETTER

EPO Form 1224 04.85

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00949982.3 DMEX

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08/10/02



Chem.

Boeters & Bauer.

81541 München ALLEMAGNE

P.B.5818 - Patentlaan 2 P.B.5818 – Palentidan 2 2280 HV Rijswijk (ZH) 2 +31 70 340 2040 TX 31651 epo nl FAX +31 70 340 3016

Forstmeyer, Dietmar, Dr. rer. nat., Dipl.-

Europäisches **Patentamt**

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Zweigstelle in Den Haag Recherchenabteilung

European **Patent Office**

Branch at The Hague Search division

vora 11/12 Office européen des brevets

Département à La Haye Division de la recherche

Bereiteranger 15 EINGEGANGEN

> BOETERS & BAUER Patentanwälte

1 1. Okt. 2002

Datum/Date 11.10.02

Zeichen/Ref./Réf.

12787-Itoh (gr)

Anmeldung Nr./Application No./Demande n°./Patent Nr./Patent No./Brevet n°. 00949982.3-2406-JP0005220

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire Itoh, Kyogo

COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

Abstract

X title

The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract:

NONE

REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





SUPPLEMENTARY PARTIAL EUROPEAN SEARCH REPORT

Application Number

which under Rule 45 of the European Patent ConventionEP 00 94 9982 shall be considered, for the purposes of subsequent proceedings, as the European search report

		ERED TO BE RELEVANT		
Category	Citation of document with i of relevant pass	ndication, where appropriate, sages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
	TYROSINE PROTEIN KI RETROVIRUS PROMOTER NATURE (LONDON), vol. 319, no. 6055, XP001094118 ISSN: 0028-0836 * the whole documen & DATABASE EMBL '0 1 January 1988 (198 * abstract * ROSENBERG S A ET AL therapeutic evaluat peptide vaccine for patients with metas NATURE MEDICINE, NA US, vol. 4, no. 3, 1 Ma	1986, pages 682-685, t * nline! 8-01-01) : "Immunologic and ion of a synthetic the treatment of tatic melanoma" TURE PUBLISHING, CO, rch 1998 (1998-03-01),	3,5, 8-10,12	C12N15/12 C07K14/82 C07K7/00 A61K39/00 A61K39/395 A61K48/00 A61K45/00 A61P35/00 A61K38/10 G01N33/50
	pages 321-327, XP00 ISSN: 1078-8956	2091661 -/		TECHNICAL FIELDS SEARCHED (Int.CI.7)
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X : partic Y : partic docui A : techr	ATEGORY OF CITED DOCUMENTS cularly relevant if taken alone cularly relevant if combined with anot ment of the same category nological background	L: document cited	ocument, but publi ate in the application for other reasons	ished on, or
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PARTIAL EUROPEAN SEARCH REPORT

Application Number

EP 00 94 9982

	DOCUMENTS CONSIDERED TO BE RELEVANT	CLASSIFICATION OF TI APPLICATION (Int.CI.7		
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim		
A ,	LINDAUER MARKUS ET AL: "The molecular basis of cancer immunotherapy by cytotoxic T lymphocytes." JOURNAL OF MOLECULAR MEDICINE (BERLIN), vol. 76, no. 1, January 1998 (1998-01), pages 32-47, XP002212357 ISSN: 0946-2716			
A	WO 98 46996 A (ROBARTS JOHN P RES INST) 22 October 1998 (1998-10-22)			
T	HARASHIMA NANAE ET AL: "Recognition of the Lck tyrosine kinase as a tumor antigen by cytotoxic T lymphocytes of cancer patients with distant metastases." EUROPEAN JOURNAL OF IMMUNOLOGY, vol. 31, no. 2, February 2001 (2001-02), pages 323-332, XP002212358 ISSN: 0014-2980		TECHNICAL FIELDS SEARCHED (Int.CI.7)	
T	IMAI NOBUE ET AL: "Identification of Lck-derived peptides capable of inducing HLA-A2-restricted and tumor-specific CTLs in cancer patients with distant metastases." INTERNATIONAL JOURNAL OF CANCER, vol. 94, no. 2, 2001, pages 237-242, XP002212565 ISSN: 0020-7136			
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EPO FORM 1503 03.82 (P04C10) 5



INCOMPLETE SEARCH SHEET C

Application Number EP 00 94 9982

Although claim 4 can be read as being directed to a method of treatment of the human/animal body (Article 52(4) EPC), the search has been carried out and based on the alleged effects of the compound/composition.

Claim(s) searched completely: 1-12,14-17

Claim(s) not searched:

Reason for the limitation of the search:

Present claim 13 relates to an extremely large number of possible compounds. Support within the meaning of Article 84 EPC and disclosure within the meaning of Article 83 EPC is not to be found, however, for the compounds claimed. In the present case, the claim so lacks support, and the application so lacks disclosure, that a meaningful search is impossible.

ANNEX TO THE EUROPEAN SEARCH REPORT , ON EUROPEAN PATENT APPLICATION NO.

EP 00 94 9982

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

16-09-2002

ci	Patent docume ted in search re	nt port	Publication date		Patent fam member(nily S)	Publication. date
WO 9	846996	Α	22-10-1998	AU WO	7228398 9846996	A A2	11-11-1998 22-10-1998
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